

Please type a plus sign (+) inside this box

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**UTILITY PATENT
APPLICATION AND FEE
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 380048-94

First Inventor ZONGQIN XIA

Title Steroidal Sapogenins and Their Derivatives
for Treating Alzheimer's Disease

Express Mail Label No. EL 763792945 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing.)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27
3. ☒ Specification Total Pages 33
 - Descriptive title of the invention
 - Background of the Invention
 - Brief Summary of the Invention
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) Total Sheets
5. ☒ Oath or Declaration Total Pages 9
 - a. ☐ Newly Executed (original or copy)
 - b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 C.F.R.
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if
applicable, all necessary)
 - a. ☐ Computer Readable form
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 c
copies); or
 - ii. ☐ Statements verifying
identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & documents)
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Small Entity ☒ Statement filed in prior appln.
Statement(s) Status still proper and desired.
16. ☐ Certified Copy of Priority Document(s)
(If foreign priority is claimed)
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. : 09/647,110
Prior application information: Examiner: E. Peselev Group Art Unit: 1623

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label ☒ New correspondence address below

OPPENHEIMER WOLFF & DONNELLY LLP
2029 Century Park East, Suite 3800
Los Angeles, California 90067 U.S.A.
Telephone (310) 788-5000 • Fax (310) 788-5100
Attn: Spyros J. Lazaris

FEE CALCULATION

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	32 - 20	12 X	\$ 18.00	\$216.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	12 - 3	9 X	\$ 84.00	\$756.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$270.00	
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
				Total of above Calculations =	\$ 1712.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)				\$ 856.00
				TOTAL =	\$ 856.00

20. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 16-2230:
- a. ☒ Fees required under 37 CFR 1.16.
 - b. ☒ Fees required under 37 CFR 1.17.
 - c. ☐ Fees required under 37 CFR 1.18.
21. ☒ A check in the amount of \$856.00 is enclosed.
22. ☐ Other

Accordingly, issuance of the official Filing Receipt an early date is in order and is respectfully requested.

Express Mailing Label No. EL 763792945 US
 I hereby certify that on February 15, 2002, I am depositing this correspondence and all listed attachments with the United States Postal Service "Express Mail Post Office to Addressee," service under 37 C.F.R. § 1.10, addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Joy Michaels
 Joy Michaels

Dated: February 15, 2002

SPYROS J. LAZARIS
 SPYROS J. LAZARIS
 Registration No. 45,981

OPPENHEIMER WOLFF & DONNELLY LLP
 2029 Century Park East, 38th Floor
 Los Angeles, California 90067-3024
 Telephone: (310) 788-5000
 Facsimile: (310) 788-5100